

## PATENT COOPERATION TREATY

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## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

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<b>Applicant</b> DIGGINS, David, Robert et al	

1. The designated Office is hereby notified of its election made:



in the demand filed with the International Preliminary Examining Authority on:

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in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

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## PATENT COOPERATION TREATY

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From the INTERNATIONAL BUREAU

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
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To:

PHILLIPS ORMONDE & FITZPATRICK  
367 Collins Street  
Melbourne, Victoria 3000  
AUSTRALIE

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(54) Title: **METHOD OF FORMING A COATED OPTICAL ELEMENT**

(57) Abstract: A method of forming a coated optical element, the method using a mould having first and second mould sections that will form front and back surfaces of the optical element, one of the mould sections having a casting face. The method includes the steps of applying a first coating layer to cover the casting face of a mould section, the casting face being capable of imparting a desired optical configuration on the surface of the optical element; treating the first coating layer to prevent damage to the first coating layer during subsequent steps; applying a second coating layer to the first coating layer to substantially cover the first coating layer; treating the second coating layer to provide at least weak adhesion of the second coating layer to the first coating layer and to prevent damage to the second layer during subsequent steps; filling the mould with an organic liquid material; and hardening the organic liquid material so as to form the optical element adhered to the second coating layer. The method may be used to coat the first mould section, the second mould section, or both mould sections. Thus, the in-mould coating method is particularly useful for the formation of both finished and semi-finished ophthalmic lenses.

**WO 01/21375 A1**

## METHOD OF FORMING A COATED OPTICAL ELEMENT

Field of the Invention

The present invention relates to a method of forming a coated optical element. The invention finds particular use where the optical element is an ophthalmic lens, and in particular relates to a method of forming a coated ophthalmic lens by  
5 utilising improved in-mould coating techniques.

Background of the Invention

The application of coatings to surfaces has been achieved in a variety of ways,  
10 such as by spin coating, dip coating, spray coating, and flow coating techniques. While these techniques have been successful when used to coat smooth surfaces, such as the optical surface of single vision ophthalmic lenses, they have not been entirely satisfactory. For example, such techniques have often resulted in a build-up of a coating material at the outer edge of the lens that causes optical  
15 aberrations.

Such techniques have proven to be even less satisfactory when used to coat irregular surfaces, such as those present on multi-vision ophthalmic lenses, particularly those made from plastic. Such lenses generally have segments that project abruptly away from at least one major optical surface of the lens. Coating  
20 solutions applied to these optical surfaces by spin, dip, spray, or flow techniques must flow around and over these segments, with the resulting coating generally exhibiting flow marks around the projection and being thick at the intersections of the segment and the optical surface.

A further disadvantage of conventional techniques of applying coatings to optical  
25 elements is that they often have high labour and capital requirements. Furthermore, any yield loss at the point of coating results in total loss of a high valued product at the end of its manufacturing cycle.

In response to these difficulties, an 'in-mould' coating technique was developed for manufacturing ophthalmic lenses. The method comprised applying a single coating to a face of a mould, partially curing the coating upon the mould, subsequently assembling the moulds, filling the moulds with a lens monomer, and  
5 curing the lens monomer to form a hard lens.

However, a limitation of this in-mould technique is that it does not allow for the provision of a coating that is both highly abrasion resistant and has excellent cross hatch adhesion to the lens substrate. Furthermore, it has not proven to be possible to incorporate other desirable optical properties to the coating produced  
10 by this technique.

It is an aim of the present invention to provide an improved in-mould coating technique that is capable of being used to produce coated optical elements such as ophthalmic lenses.

## 15 Summary of the Invention

The present invention provides a method of forming a coated optical element, the method using a mould having first and second mould sections that will form front and back surfaces of the optical element, one of the mould sections having a casting face, the method including the steps of:

- 20           – applying a first coating layer to cover the casting face of a mould section, the casting face being capable of imparting a desired optical configuration on a surface of the optical element;
- treating the first coating layer to prevent damage to the first coating layer during subsequent steps;
- 25           – applying a second coating layer to the first coating layer to substantially cover the first coating layer;
- treating the second coating layer to provide at least weak adhesion of the second coating layer to the first coating layer and to prevent damage to the second layer during subsequent steps;
- 30           – filling the mould with an organic liquid material; and

- hardening the organic liquid material so as to form the optical element adhered to the second coating layer.

The method of the present invention may be used to coat the first mould section, the second mould section, or both mould sections. Thus, the in-mould coating method of the invention is particularly useful for the formation of both finished and semi-finished ophthalmic lenses.

In one form of the present invention, the first coating layer is applied to the casting face of a mould so as to completely cover the casting face. However, it should be appreciated that there may be manufacturing constraints that cause the covering not to be perfectly complete over all of the casting face, which may be acceptable in some circumstances, especially if the optical element is not to be tinted, the defects are very small or are at the periphery of the element (in the case of an ophthalmic lens, outside the normal region for vision), or if the optical element is to be subsequently given an anti-reflection coating using vacuum coating techniques. Therefore, it will be appreciated that the covering of the casting face need only be substantially complete. Indeed, this may also be the case for the covering of the first coating layer by the second coating layer.

Also, it is to be appreciated that the method may include the coating of further layers over the second coating layer; there thus possibly being third, fourth, fifth (or more) coating layers, each similarly applied over the previous coating layer and each similarly treated to prevent damage during subsequent steps in the method. Further, after an in-mould coated optical element has been formed in accordance with the present invention, subsequent coatings may be applied via conventional means, such as by normal spin, dip or vacuum applications. For example, a highly abrasion resistant, multilayer, in-mould coated optical element (formed in accordance with the method of the present invention) may be subsequently coated with a traditional multilayer anti-reflection coating stack via conventional technology.

Throughout this specification, reference to the treatment of a coating layer to prevent damage during subsequent method steps is to be understood to relate to the provision of a degree of mechanical and chemical robustness to the layer; for example, so as to prevent crazing, delamination or removal of the layer, or so as to prevent redissolution of the layer by subsequently applied layers, or so as to prevent some other type of physical damage thereto during the coating processes used for subsequent layers. Thus, the term 'damage' is to be interpreted broadly so as to encompass any subsequent physical or chemical alteration to a layer that is considered undesirable.

It is envisaged that such a treatment will generally either be a partial curing of the layer, a solvent removal step, or both as will be explained below. In any form, the treatment of each coating layer is preferably conducted to a degree wherein the coating layer forms a substantially insoluble dry film that exactly replicates the casting face of the mould in a substantially aberration-free manner. More particularly, the coating layer will generally not flow by itself, although touching the coating layer with finger pressure may deform it and it may be tacky to the touch.

Whichever technique is employed, it is preferred that the coating layer be prepared in a predetermined fashion according to the chemistry of the subsequent layers. For example, if a coating layer is under-cured, it may produce a hazy film, subsequent coatings or the organic material may redissolve it, or it may exhibit poor abrasion resistance. However, if a coating layer is over-cured, it may exhibit unacceptable adhesion.

With specific reference to the treatment being an at least partial cure employing thermal techniques, it has been found that a coating layer can be successfully partially cured by exposing it to a temperature in the range of 35°C to 130°C for a duration in the range of one to thirty minutes. Indeed, if a coating layer contains an unsaturated monomer, such as an acrylate, the coating layer may be cured until it exhibits a degree of unsaturation of about 30% to 90% of the unsaturation it possessed prior to curing. Ideally, this may be achieved through the use of

thermal energy initiating a thermal initiator. Alternatively, partial cure can be effected by activating radiation such as ultraviolet light (with an appropriate initiator in the coating material) or by electron beam radiation.

In this respect, coatings that have a degree of unsaturation above 90% have been found to provide a hazy film or are dissolved by the subsequent layer or organic material (i.e., one which is not optically clear) on the final optical element and may also exhibit poor abrasion resistance. However, those that have a degree of unsaturation below about 30% generally exhibit unacceptable adhesion to the final optical element. More preferably, though, such a coating layer will be cured until it exhibits a degree of unsaturation in the range of 40% to 75%, and most preferably from 55% to 70%, of the unsaturation it possessed prior to reaction

In relation to the partial curing of a coating layer, techniques suitable for curing the coatings will be obvious to those skilled in the art, and the specific technique used to ensure at least partial curing will ideally be selected to suit the type of coating material. For example, when polysiloxane coatings are used, containing partially hydrolysed and partially condensed glycidoxypropyltrimethoxysilane, methyltrimethoxysilane, methacryloxypropyltrimethoxysilane, allyltrimethoxysilane, vinyltrimethoxysilane, other organosilanes or other functionalised organosilanes, there is the option of either further advancing the condensation to provide a gelled network, or polymerising through the organic component of the coating layer. In this respect, network formation via the organic component can be effected, for example, by ring opening the glycidoxy portion of the glycidoxypropyltrimethoxysilane using cationic initiators or curing catalysts, curing the ethylenic portion of the methacryloxypropyltrimethoxysilane, vinyltrimethoxysilane or allyltrimethoxysilane, or by using cationic or free radical initiators.

Alternatively, and as mentioned above, the treatment to prevent damage during subsequent steps may be a solvent removal treatment. Solvent removal may be achieved by air drying or by the use of infra-red radiation, microwave radiation or

heat. Such a solvent removal treatment is envisaged to be preferred in circumstances where a coating material is itself already partially cured and is dispersed or dissolved in a solvent. An example of such a system is a high molecular weight polysiloxane that is dispersed in a solvent and requires very little, if any, additional cure. If solvent is not removed from an applied coating layer, the film may be redissolved by a subsequent coating layer or by the organic material, it may not form a sufficiently mechanically robust film, or it may not be impervious to the diffusion of solvent from a subsequent coating layer. Indeed, solvent diffusion into the first coating layer may lead to swelling followed by stress cracking, which is clearly undesirable. Alternatively, solvent diffusion may lead to the delamination of the weakly adhered first layer.

Alternatively, a combination of solvent removal and a partial cure may be necessary to form a film that is sufficiently mechanically robust. Furthermore, it will also be appreciated that in order to eliminate some of the difficulties associated with the presence of a solvent in coating material, a solventless coating material may be utilised.

Finally in relation to the treatment of the first coating layer and of the second coating layer, it will be appreciated that such a treatment also serves to provide suitable adhesion of the first coating layer to the casting surface, and of the second coating layer to the first coating layer. Indeed, in order to achieve a sufficiently mechanically robust coating it is preferable to have sufficient adhesion between coating layers. In this respect, sufficient adhesion may be achieved by partial diffusion of one coating into another resulting in mechanical interlocking between coating layers, by physical bonding, or by coreaction of the coating layers at the interface.

Partial curing of the film may not only promote a mechanically robust film but may also promote coreaction, and therefore adhesion, between films. In some cases, the adhesion of the first coating layer to the mould needs to be controlled. If adhesion of the first coating layer is too strong, the optical element on opening of the mould may pull glass material from the mould. Alternatively, if the adhesion

of the first coating layer to the mould is not strong enough, separations may result which may lead to unacceptable surface quality and hence an unacceptable optical element. With this in mind, adhesion of coating layers to moulds can be affected by a variety of techniques, such as surface modification of the mould surface, such modification being in the form of a silane treatment or a fluorochemical treatment or the like. Alternatively, mould adhesion to a coating layer can be affected by the addition of mould release agents or adhesion promoters to the coating resin.

The method of the present invention may further include an additional thermal post-cure cycle after the hardening of the organic liquid material, and after the optical element is removed from the mould. Such a post-cure cycle assists in ensuring that the final optical element has optimal properties. Properties that may be further improved by an additional post-cure step includes hardness, abrasion resistance, tint rate, thermomechanical properties, and the level of optical strain resulting from residual stress.

Such an additional post-cure cycle may be accomplished by the same techniques utilised to initially partially cure the coating layers. In this respect, the conditions employed during post-curing will typically be sufficient to ensure essentially complete curing of the coating layers. It will be appreciated that a post-curing step will be most important in systems such as polysiloxane systems, in which heating to 90 to 120°C for a period of up to 4 hours is desirable to substantially fully condense the polysiloxane coating layers and develop optimal mechanical properties.

The method of the present invention is thus capable of producing an optical element, such as an ophthalmic lens, having a thin, optically clear, multi-layer coating (such as an abrasion resistant coating) on one or more of its optical surfaces.

The coating layers applied by the method of the present invention will be substantially free from surface aberrations that may arise due to non-uniformity of

the thickness of the coating solution, flow marks, coating build-up (particularly at the segment lines and edges of ophthalmic lenses), and crazing of intermediate layers. Additionally, the coating layers preferably substantially exactly replicate the mould surfaces from which an ophthalmic lens is made and consequently  
5 provide an optical surface having the desired surface configuration.

Further still, the method provides a technique that is equally useful in applying a multilayer coating to single, multifocal or progressive ophthalmic lenses, and that achieves a high yielding, cost efficient, manufacturing process for producing premium quality ophthalmic lenses.

10 In addition to abrasion resistance, the method of the present invention may also be utilised to provide for the incorporation of special features into a multilayer coating, such as anti-reflection, mirror coatings, impact enhancement, photochromic dye incorporation, electrochromic incorporation, and tint incorporation.

15 Specifically, some of these features can be included in a layer specifically tailored for that feature. For example, a rubbery intermediate layer between a hard coat and a substrate may serve as an impact enhancing layer. Similarly, an intermediate layer may be designed specifically to incorporate tints or photochromic dyes. Alternatively, an electrochromic system may be more easily  
20 applied to a mould surface first, where high temperatures (up to 400°C to anneal some layers) may be present, rather than subjecting the substrate to such operations.

Further still, a particularly preferred form of the present invention results in the formation of an optical element (such as an ophthalmic lens) where the first  
25 coating layer is an abrasion resistant coating layer and the second coating layer is an intermediate layer, the abrasion resistant layer preferably being formed from a polysiloxane coating resin. In this form, the intermediate layer has the ability to co-react with both the abrasion resistant polysiloxane resin and with the preferred type of organic material, namely the lens monomer. In this respect, when a  
30 standard polysiloxane hard coat resin is used,

methacryloxypropyltrimethoxysilane (or some other (meth)acryl silane) is preferably present in the intermediate layer so that the silane part thereof can co-condense with the polysiloxane resin of the hard coat and the methacryl part thereof can co-react with the unsaturated ethylene groups of the lens monomer.

- 5 An amount of methacryloxypropyltrimethoxysilane in the broad range of 30% to 100% (by weight) may be used, although an amount in the range of 50% to 90% is more preferred.

Furthermore, there are significant process advantages if an antireflection coating  
10 stack were to be applied in conjunction with an abrasion resistant layer to a mould rather than having separate processes to apply the abrasion resistant layer and the antireflection coat.

As used throughout this specification, the following terms have the following meanings:

- 15 a) "Optically clear" means free from haze or other interference that prevents an object on one side of a lens from being seen clearly by an observer on the other side of a lens;
- b) "Aberration-free" means that an object on one side of a layer of the coated lens does not appear to be bent, twisted or distorted to a viewer on the other  
20 side of the lens;
- c) "Optical surface" means one of the surfaces which provides optical correction;
- d) "Lens substrate" means an organic liquid which when cured forms a solid at room temperature and provides optical properties consistent with those required for an ophthalmic lens;
- 25 e) "Optical element" includes lenses for optical devices such as cameras, microscopes, telescopes, or for the refracting or reflecting of light (eg mirrors) in any scientific or medical device, and of course also includes ophthalmic lenses of all types, whether they be refractive or plano.

Detailed Description of the Invention

Reference will now be made to several specific aspects of preferred embodiments of, and possible alternatives to, the method of the present invention.

- 5 In the method of the present invention, each coating layer applied will ideally be thin, although the actual thickness generally will depend on the function of the particular layer. For instance, if the first coating layer is intended to act as an abrasion resistant hard coat, it will typically have a thickness in the range of 0.5 to 50  $\mu\text{m}$ , although more preferably in the range of 0.8 to 10  $\mu\text{m}$ , but most preferably  
10 in the range of 1 to 5  $\mu\text{m}$  thick. Indeed, if the second coating layer is similarly required to act as an abrasion resistant hard coat (such as when an abrasion resistant, anti-reflection, ophthalmic lens is required where the first coating layer is of a specific refractive index), it will preferably have a thickness in these same ranges.
- 15 However, in the event that the first coating layer is to act as a permanent mould release agent to facilitate the removal of the lens from the mould, or as a hydrophobic or oleophobic layer, it will preferably be very thin. In this form, the first coating layer will preferably have a thickness in the range of 1 nm to 1  $\mu\text{m}$ , although more preferably in the range of 1 nm to 100 nm, but most preferably in  
20 the range of 1nm to 30nm.

- If the second coating layer is intended to act simply as an adhesion promoter between the first coating layer and the lens substrate, the second coating layer will preferably also be thin, with a typical thickness being in the range of 0.05 to 50  $\mu\text{m}$ , more preferably in the range of 0.1 to 10  $\mu\text{m}$ , but most preferably in the  
25 range of 0.2 to 1  $\mu\text{m}$ .

In addition to a second coating layer providing a benefit in adhesion between, in one form,) a lens substrate and a first coating layer that is an abrasion resistant hard coat, it can also serve other functions. These other functions may be such as:

- (i) the incorporation of dyes to provide a tint for the final product;
- (ii) the incorporation of a photochromic dye to provide a light sensitive variable transmission;
- (iii) the incorporation of an electrochromic system which upon the application of a current will change colours and vary the transmission;
- (iv) the incorporation of a liquid crystal material, which upon the application of an electric field will orient in accordance with the applied electric field and provide variable transmission of a particular polarisation of light; and
- (v) inherently provide retention of impact properties of the final optical element, especially after overcoating with an antireflection stack.

Alternatively, these product enhancing features may be separately (or in combination) incorporated into third, fourth (and so on) subsequent coating layers, rather than be incorporated into the second coating layer. Thus, a third coating layer may for instance be provided to act as an adhesion promoter between the second coating layer and the lens substrate, the adhesion promotion being formed by the polymerisation of the organic material of the lens substrate. In this form, the third coating layer is also preferably thin, with a typical thickness being in the range of 0.05 to 50  $\mu\text{m}$ , preferably in the range of 0.1 to 10  $\mu\text{m}$ , but most preferably in the range of 0.2 to 1  $\mu\text{m}$ .

In an alternative form, if the method of the present invention is to be used to produce, for instance, an ophthalmic lens carrying a hydrophobic/oleophobic abrasion resistant, anti-reflection multilayer stack, the first coating layer will preferably be very thin and act as a mould release/hydrophobic layer. A series of layers, comprised of multiple layers of metal oxides (known in the art as an anti-reflection stack), may then form a series of middle coating layers. This series of middle coating layers may cumulatively have a thickness in the range of 0.5 to 20  $\mu\text{m}$ , more preferably in the range of 1 to 10  $\mu\text{m}$ , but most preferably in the range of 1.5 to 5  $\mu\text{m}$ . Such an anti-reflection stack may be a standard multi-layer anti-reflective coating, and the number and/or thickness of the layers therein may be selected utilising suitable computer software. One or more of the layers in the stack may additionally be an abrasion resistant hard coat.

The layers in such an anti-reflection stack may include alternate high and low refractive index layers. Such low and high refractive index layers may be formed from any suitable material. The low and high refractive index layers may be formed of a dielectric material. Preferably, the dielectric layers will be formed from metal oxides, fluorides or nitrides. Metal oxides that may be used for forming transparent layers include one or more of SiO, SiO<sub>2</sub>, ZrO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, TiO, TiO<sub>2</sub>, Ti<sub>2</sub>O<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub>, Yb<sub>2</sub>O<sub>3</sub>, MgO, Pr<sub>2</sub>O<sub>3</sub>, Ta<sub>2</sub>O<sub>5</sub>, CeO<sub>2</sub> and HfO<sub>2</sub>. Fluorides that may be used include one or more of MgF<sub>2</sub>, AlF<sub>3</sub>, BaF<sub>2</sub>, CaF<sub>2</sub>, Na<sub>3</sub>AlF<sub>6</sub>, Ta<sub>2</sub>O<sub>5</sub>, and Na<sub>5</sub>Al<sub>3</sub>Fl<sub>14</sub>. Suitable nitrides include Si<sub>3</sub>N<sub>4</sub> and AlN.

It should be appreciated that by utilising the techniques of the present invention, interference rings which arise from a mismatch of the refractive index of the coating layers or between the coating layers and the substrate can be minimised, enabling the use of refractive index mismatched coatings. However, there will generally be a desire to match the refractive indices of each coating layer and the lens substrate as closely as possible to minimise the potential for interference rings.

In this respect, and in order to modify the refractive index of a coating layer without changing the chemistry of the coating formulation, very small particulate fillers can be added. Titanium dioxide, silicon dioxide or layers of silicon dioxide on titanium dioxide, tin oxide, etc have been used for this purpose. The average particle size will preferably be between 10 nm and 80 nm diameter, although will preferably be between 20 nm and 50 nm.

To ensure that these particles are substantially uniformly distributed throughout the coating layer and not agglomerated, a combination of surface treatment of the particles, grafting of a compatible monomer onto the surface of the particle, and/or use of surfactants or levelling agents may be used. The loading of these particles is dependent on the refractive index of the coating matrix material, the refractive index of the particulate filler and the refractive index desired, but typically is in the range of 5% to 50% by weight of the final coating.

Particles can be added to all layers, including an abrasion resistant coating and a primer coating in order to achieve the desired refractive index. By utilising these particles, a refractive index matched, low haze coating can be achieved for a range of chemistries. Indeed, these particles may also be added specifically in order to increase the abrasion resistance of a coating layer.

In general terms, examples of materials able to be used to formulate the various coating layers that may be applied in the method of the present invention are materials that have a reactive ethylenically unsaturated group, such as acrylates, methacrylates, acrylic anhydrides, ethylenically unsaturated anhydrides, olefinic compounds, acrylamides, ethylenically unsaturated amides and urethanes, vinyl esters, vinyl ethers, vinyl halides, vinyl epoxy resins, vinyl silanes and siloxanes, vinyl heterocycles, and prepolymers and polymers of these materials. These materials may be mono- or polyfunctional.

Additionally, non free radical reacting systems such as melamines, anhydrides and amine cured epoxy resins, maleimides and polyimides can also be used to formulate various coating layers.

Further examples of materials able to be used to formulate a coating layer include organosilanes and organosiloxanes. Such materials include vinyl silanes, allyl silanes and (meth)acryl silanes. Other organosilanes and organosiloxanes include tetraalkoxyorthosilicates, alkyltrialkylloxysilanes, dialkyldialkylloxysilanes, mercaptosilanes, isocyanatosilanes, etc. Also included are oligomeric materials based upon the aforementioned organosilane and organosiloxane species.

In addition to silicon based oxides for the abrasion resistant coat, other metal oxides can also be used. Materials such as tetramethoxytitanate and other metal oxides based on cerium, tin, zirconium, etc. and their derivatives can be used as monomers or can be prereacted in a similar manner to the functional silanes to form a prepolymer coating with similar coating properties to other commercial polysiloxanes. These materials provide advantages over polysiloxanes in that

they provide a higher refractive index and therefore are suitable for producing index matched coatings for higher index substrate materials such as Finalite and Spectralite (registered trademarks of Sola International Inc) or a variety of commercial thiourethane ophthalmic lens materials.

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Further examples of materials able to be used to formulate a coating layer include thiols. These materials may be mono- or polyfunctional and often used in conjunction with materials containing ethylenically unsaturated bonds or epoxides.

- 10 Further examples of materials able to be used to formulate a coating layer are various commercial compositions based upon the aforementioned monomeric components. Specific examples of such materials include: SDC 1154, Silvue 339, PPG 1080, Suminal G35, GE 8556 and GE 8553 and GE 8566, etc.

- 15 If a reaction initiator is used in any particular coating layer, the nature of such a reaction initiator will generally be dependent upon the ethylenically unsaturated material used. For example, for those ethylenically unsaturated materials that undergo free radical polymerisation, suitable initiators are compounds that liberate or generate a free-radical on addition of energy. Such initiators include peroxy, azo, and redox systems each of which are well known and are described  
20 in polymerisation art.

Included among the free-radical initiators are the conventional heat activated catalysts such as organic peroxides and organic hydroperoxides. Examples of these catalysts are benzoyl peroxide, tertiary-butyl perbenzoate, cumene hydroperoxide, azobis(isobutyronitrile) and the like.

- 25 The preferred catalysts are photopolymerisation initiators. Included among such initiators are acyloin and derivatives thereof, such as benzoin, benzoin methyl ether, benzoin ethyl ether, benzoin isopropyl ether, benzoin isobutyl ether, and .alpha.-methylbenzoin; diketones such as benzil and diacetyl, etc.; organic sulfides such as diphenyl monosulfide, diphenyl disulfide, decyl phenyl sulfide,  
30 and tetramethylthiuram monosulfide; S-acyl dithiocarbamates, such as S-benzoyl-

N,N-dimethyldithiocarbamate; phenones such as acetophenone, .alpha.,.alpha.,.alpha.-tribromacetophenone, .alpha.,.alpha.-diethoxyacetophenone, .alpha.,.alpha.-dimethoxy-.alpha.-phenylacetophenone, o-nitro-.alpha.,.alpha.,.alpha.-tribromacetophenone, benzophenone, and p,p'-bis(dimethylamino)benzophenone; aromatic iodonium and aromatic sulfonium salts, sulfonyl halides such as p-toluenesulfonyl chloride, 1-naphthalenesulfonyl chloride, 2-naphthalenesulfonyl chloride, 1,3-benzenedisulfonyl chloride, 2,4-dinitrobenzenesulfonyl bromide, and p-acetamidobenzenesulfonyl chloride.

The reaction catalysts employed with silane and siloxane materials are for example ammonium perchlorate and aluminium acetyl acetonate that can open epoxy rings and act as high temperature acids.

The coating compositions can include a variety of other ingredients such as compatible monomers and polymers, stabilizers, antioxidants, flexibilizers, colorants (e.g., dyes and pigments), reinforcing fillers, surfactants, flow aids, levelling agents, hardness enhancers (e.g., colloidal silica), refractive index modifiers (eg titanium dioxide, zirconium dioxide, antimony oxide, etc), antistatic agents, uv absorbers and the like. Additionally, solvents may be utilised to facilitate preparation and application of the compositions.

As also mentioned above, in one form of the method of the present invention, the second coating layer (which in this form may be referred to as a primer coating) may be intended to act simply as an adhesion promoter between the first coating layer and the lens substrate formed by the polymerisation of the organic material. For specific applications, such a coating will need to be tailored accordingly. For instance, if the coating is to promote adhesion of a polysiloxane hard coat to a lens substrate such as CR-39, the coating will need to contain at least 30% methacryloxypropyltrimethoxysilane. Comonomers with the methacryloxypropyltrimethoxysilane may include other polysiloxanes, monomers/oligomers with reactive ethylenically unsaturated groups, monomers/oligomers that coreact via condensation, or combinations thereof.

With reference now to the manufacturing process, moulds used in the manufacture of, for example, ophthalmic lenses from organic materials are generally made from glass or metal and typically have first and second mould sections which are mounted in a gasket to form the front and back optical surfaces on the lenses. At least one of these sections has a surface that forms a finished optical surface. Depending upon the particular application, a permanent or semi-permanent treatment may be applied to facilitate mould release.

The coating layers of the method of the present invention may be applied to one or more of the mould surfaces by a variety of techniques including spraying, dipping, brushing, flow coating, spin coating and the like.

If any of the coating compositions include a solvent, the solvent may be, and preferably is, removed prior to the deposition of subsequent layers or prior to the moulds being filled, for reasons as described above. The solvent removal preferably occurs either in concert with a partial curing or as a separate process that is preferentially conducted prior to a partial curing. The solvent removal may be achieved by air drying or by the use of infra-red radiation, microwave radiation or heat, again as has been generally described above.

After the desired level of curing (or solvent removal) for the final coating layer is obtained, the mould is preferably assembled and filled with liquid organic material to provide the lens substrate. A wide variety of thermosetting materials may be employed. In the preferred form, all that is necessary of such materials is that they be capable of solidifying to form a room-temperature stable, optically clear, optical element. Examples of useful thermosetting materials include allyl diglycol carbonate monomer (also known commercially as CR-39), acrylate monomers, and acrylate oligomers, thiourethanes, combinations of multifunctional thiols with acrylates, etc.

Once the mould is filled, the organic liquid may be hardened by any suitable technique. For example, allyl diglycol carbonate may be hardened by subjecting it to heat in the range of 35°C to 120°C for up to 24 hours in an oven or in a

series of waterbaths, according to a predetermined schedule in the presence of a suitable polymerisation agent.

As the organic liquid hardens, the coating layer adjacent to the organic liquid, and any subsequent coating layers in direct contact, preferably form an intimate bond providing excellent adhesion. The adhesion between individual coating layers, and with the lens substrate, is preferably such that the structure can survive accelerated weathering, outdoor weathering, and 3 hour boil tests and the like, without loss of adhesion, excessive crazing, delamination, etc. The loss of adhesion may be as measured by an industry standard tape test, such as a test where a series of cross-hatched regions are formed on a lens surface, a pressure sensitive adhesive tape is applied, and the tape is then quickly removed.

Once hardened, the cast ophthalmic lenses are removed from the mould. As the adhesion of the multilayer coatings to the lens substrate is greater than the adhesion to the face of the mould, the ophthalmic lens separates essentially completely from the mould. It is preferable that the adhesion of the first layer to the mould is such that this layer does not separate from the mould during processing, until the mould is opened, to prevent contamination of the mould surface by water, etc during processing.

By using standard ophthalmic industry abrasion tests, the abrasion resistance of ophthalmic lenses produced in accordance with the method of the present invention can be demonstrated. In this respect, it has been found that for such lenses, the haze developed following a Bayer abrasion test was less than  $\frac{1}{4}$  as severe as an uncoated CR-39 lens. The haze developed following a steel wool abrasion test was less than  $\frac{1}{20}$  as severe as an uncoated CR-39 lens. In comparison, a conventional single layer in-mould coating, with sufficient adhesion to the lens substrate and adequate mould release properties, resulted in haze levels following a Bayer abrasion test of only  $\frac{1}{1.5}$  to  $\frac{1}{2}$  of that for an uncoated CR-39 lens.

Description of Examples of the Invention

Examples of suitable materials for use with the method of the present invention will now be provided, following which examples of the method steps themselves will be provided. In providing these examples, it is to be understood that the specific nature of the following description is not to limit the generality of the above description.

Example 1

## Hard Coat Resin 1

- 10 To 20 parts of methyltrimethoxysilane, 26 parts of glycidoxypropyltrimethoxysilane and 33 parts methanol, 21 parts of water was added dropwise to effect hydrolysis. The solution was vacuum distilled at 75°C to remove water and liberated methanol. This formed the base resin.
- 15 To 76 parts of the above base resin was added 20.8 parts of colloidal silica, 0.4 parts of aluminium acetyl acetate and 2.8 parts of a 10% solution of ammonium perchlorate in water. This resin is herein referred to as Hard Coat Resin 1.

## Primer Coat Resin 1

- 20 To 30 parts of methacryloxypropyltrimethoxysilane, 20 parts of glycidoxypropyltrimethoxysilane and 35 parts methanol, 15 parts of water were added dropwise to effect hydrolysis. The solution was vacuum distilled at 75°C to remove water and liberated methanol.
- 25 To 20 parts of the above base resin was added 80 parts of ethyl acetate. This resin is herein referred to as Primer Coat Resin 1.

Hard Coat Resin 1 was flow coated over phenyl trichlorosilane treated glass moulds. The moulds were heated for 6 minutes at 120°C to effect a partial cure and allowed to cool down. Primer Resin 1 was flow coated over the hard coated moulds. The moulds were heated for 15 minutes at 120°C to affect a partial cure

and allowed to cool down. The moulds were assembled, filled with CR-39 and cured. On completion of the cure, the moulds were opened. The lenses were then post-cured at 120°C for 2 hours.

## 5 Example 2

### Hard Coat Resin 2

To 100 parts of PPG 1080 (a commercial hard coat resin), 0.28 parts of aluminium acetyl acetate and 1.76 parts of a 10% solution of ammonium perchlorate in water were added. This resin is herein referred to as Hard Coat Resin 2.

### Primer Coat Resin 2

30 parts of methacryloxypropyltrimethoxy silane, 20 parts of glycidoxypopyltrimethoxysilane, 35 parts of methyl isobutyl ketone (MIBK) and 15 parts of a 1M potassium hydroxide solution was combined to form an immiscible solution. The solution was then stirred for 24 hours to develop the molecular weight (after about 1 hour of stirring the mix becomes miscible) and form the base resin.

20 To 50 parts of this base resin was added 45 parts of MIBK, 5 parts of a 10% solution of glacial acetic acid in MIBK, 0.28 parts of aluminium acetyl acetate and 1.76 parts of a 10% solution of ammonium perchlorate in water. This resin is herein referred to as Primer Coat Resin 2.

25 Hard Coat Resin 2 was flow coated over phenyl trichlorosilane treated glass moulds. The moulds were air dried for 5 minutes to evaporate solvent. Primer Resin 2 was flow coated over the hard coated moulds. The moulds were air dried for 5 minutes to evaporate solvent. The moulds were assembled, filled with CR-39 and cured. On completion of the cure, the moulds were opened. The lenses  
30 were then post-cured at 120°C for 2 hours.

## Example 3

PPG 1080, without further modification, was flow coated over phenyl trichlorosilane treated glass moulds. The moulds were heated for 6 minutes at 120°C to effect a partial cure and allowed to cool down. Primer Resin 1 was flow coated over the hard coated moulds. The moulds were heated for 15 minutes at 120°C to affect a partial cure and allowed to cool down. The moulds were assembled, filled with CR-39 and cured. On completion of the cure, the moulds were opened. The lenses were then post-cured at 120°C for 2 hours.

Lenses manufactured in accordance with each of Examples 1 to 3 were then subjected to various adhesion and abrasion resistant tests in accordance with traditional techniques. The results of those tests are tabulated below.

Adhesion			
	Example 1	Example 2	Example 3
Primary	Pass	Pass	Pass
3 hour boil	Pass	Pass	Pass
Suntester	Pass	Pass	Pass
Outdoor Weathering	Pass	Pass	Pass

Abrasion Resistance*			
Bayer Number	4.9	3.8 – 4.2	4.1
Steel Wool	>20	>20	>20
Taber	17	12	12

\* The abrasion resistance numbers are based upon the ratio of haze developed between the coated lenses to the haze developed with uncoated CR-39 lenses.

Finally, it will be appreciated that other variations and modifications may be made to the methods and compositions described herein without departing from the scope of the present invention.

## CLAIMS:

1. A method of forming a coated optical element, the method using a mould having first and second mould sections that will form front and back surfaces of the optical element, one of the mould sections having a casting face, the method including the steps of:
  - applying a first coating layer to cover the casting face of a mould section, the casting face being capable of imparting a desired optical configuration on a surface of the optical element;
  - treating the first coating layer to prevent damage to the first coating layer during subsequent steps;
  - applying a second coating layer to the first coating layer to substantially cover the first coating layer;
  - treating the second coating layer to provide at least weak adhesion of the second coating layer to the first coating layer and to prevent damage to the second layer during subsequent steps;
  - filling the mould with an organic liquid material; and
  - hardening the organic liquid material so as to form the optical element adhered to the second coating layer.
2. A method according to claim 1 wherein the first coating layer is applied to the casting face of the mould so as to completely cover the casting face.
3. A method according to claim 1 or claim 2 wherein the treatment of each coating layer is conducted to a degree wherein the coating layer forms a substantially insoluble dry film that exactly replicates the casting face of the mould in a substantially aberration-free manner.
4. A method according to any one of claims 1 to 3 wherein the treatment is an at least partial cure.

5. A method according to claim 4 wherein the at least partial cure is conducted by exposing a coating layer to a temperature in the range of 35°C to 130°C for a duration in the range of one to thirty minutes.
- 5 6. A method according to claim 4 wherein a coating layer contains an unsaturated monomer, and the coating layer is cured until it exhibits a degree of unsaturation in the range of 30% to 90% of the unsaturation it possessed prior to curing.
- 10 7. A method according to claim 4 wherein a coating layer contains an unsaturated monomer, and the coating layer is cured until it exhibits a degree of unsaturation in the range of 55% to 70% of the unsaturation it possessed prior to curing.
- 15 8. A method according to claim 6 or claim 7 wherein the curing is initiated by a thermal initiator, or by activating radiation with an appropriate initiator, or by electron beam radiation.
- 20 9. A method according to claim 4 wherein a coating layer is a polysiloxane coating layer, containing partially hydrolysed and partially condensed glycidoxypropyltrimethoxysilane, methyltrimethoxysilane, methacryloxypropyltrimethoxysilane, allyltrimethoxysilane, vinyltrimethoxysilane or other organosilanes, and wherein condensation thereof is further advanced to provide a gelled network.
- 25 10. A method according to claim 4 wherein a coating layer is a polysiloxane coating layer, containing partially hydrolysed and partially condensed glycidoxypropyltrimethoxysilane, methacryloxypropyltrimethoxysilane, allyltrimethoxysilane, vinyltrimethoxysilane or other functionalised organosilanes, and
- 30

wherein a gelled network is formed through the polymerisation of the organic component of the polysiloxane.

11. A method according to claim 10 wherein network formation via the organic component can be effected, for example, by ring opening the glycidoxy portion of the glycidoxypropyltrimethoxysilane using cationic initiators or curing catalysts, curing the ethylenic portion of the methacryloxypropyltrimethoxysilane, vinyltrimethoxysilane or allyltrimethoxysilane, or by using cationic or free radical initiators.
12. A method according to any one of claims 1 to 3 wherein the treatment is the removal of solvent from a coating layer.
13. A method according to claim 12 wherein a coating layer is a polysiloxane coating layer and the solvent removal is achieved by the use of thermal energy to remove solvent and hydrolysis product therefrom with substantially no additional condensation occurring.
14. A method according to any one of claims 1 to 13 wherein the method includes the further step of a thermal post-cure cycle after the hardening of the organic liquid material.
15. A method according to claim 14 wherein the thermal post-cure cycle is sufficient to ensure essentially complete curing of the coating layers.
16. A method according to claim 14 or claim 15 wherein one or more of the coating layers are of a polysiloxane, and wherein the thermal post-cure cycle includes heating to a temperature in the range of 90 to 120°C for a period of up to 4 hours to substantially fully condense the polysiloxane coating layers.

17. A method according to any one of claims 1 to 16 wherein the method includes coating subsequent layers over the second coating layer.
18. A method according to any one of claims 1 to 17 wherein the optical element is subjected to subsequent treatments as required.
19. A method according to any one of claims 1 to 18 wherein the optical element is subsequently coated with further coating layers as required.
20. A method according to any one of claims 1 to 19 wherein the adhesion of coating layers to a mould surface is assisted by a surface modification of the mould surface, such surface modification being in the form of a silane treatment or a fluorochemical treatment.
21. A method according to any one of claims 1 to 19 wherein the adhesion of coating layers to a mould surface is assisted by the addition of mould release agents or adhesion promoters to the coating resin.
22. A method according to claim 1 wherein the first coating layer is an abrasion resistant coating layer and the second coating layer is an intermediate coating layer capable of coreacting with the organic material and the abrasion resistant first coating layer.
23. A method according to claim 22 wherein the abrasion resistant coating layer is formed from a polysiloxane resin and the intermediate coating layer is formed from a (meth)acryl silane.
24. A method according to claim 23 wherein the intermediate coating layer contains an amount of (meth)acryl silane in the range of from 30% to 100% (by weight).

25. A method according to claim 23 wherein the intermediate coating layer contains an amount of (meth)acryl silane in the range of from 50% to 90% (by weight).
- 5
26. A method according to any one of claims 23 to 25 wherein the (meth)acryl silane is methacryloxypropyltrimethoxysilane.
27. A method according to claim 1 wherein one of the coating layers is an abrasion resistant coating layer having a thickness in the range of 0.5 to 50  $\mu\text{m}$ .
- 10
28. A method according to claim 27 wherein the thickness of the abrasion resistant coating layer is in the range of 1 to 5  $\mu\text{m}$ .
- 15
29. A method according to claim 1 wherein the first coating layer acts as a permanent mould release agent to facilitate the removal of the optical element from the mould, or acts as a hydrophobic or oleophobic layer, and has a thickness in the range of 1 nm to 1  $\mu\text{m}$ .
- 20
30. A method according to claim 29 wherein the thickness of the first coating layer is in the range of 1 nm to 30 nm.
31. A method according to claim 1 wherein the second coating layer acts as an adhesion promoter between the first coating layer and the organic material, and has a thickness in the range of 0.05 to 50  $\mu\text{m}$ .
- 25
32. A method according to claim 31 wherein the second coating layer is in the range of 0.2 to 1  $\mu\text{m}$ .
- 30
33. A method according to claim 1 wherein the first coating layer and the second coating layer include therebetween an anti-reflection stack of

layers, the stack cumulatively having a thickness in the range of 0.5 to 20  $\mu\text{m}$ .

- 5 34. A method according to claim 33 wherein the stack cumulatively has a thickness in the range of 1.5 to 5  $\mu\text{m}$ .
35. A method according to claim 33 or claim 34 wherein the layers in the stack include alternate high and low refractive index layers.
- 10 36. A method according to any one of claims 1 to 35 wherein particulate fillers are added to a coating layer to modify the refractive index of the coating layer without changing the chemistry of the coating formulation, the average diameter of particles in the filler being in the range of 10nm to 80nm diameter.
- 15 37. An optical element formed by a method in accordance with any one of claims 1 to 36.
38. An ophthalmic lens formed by a method in accordance with any one of claims 1 to 36.
- 20 39. A process for the manufacture of an ophthalmic lens, the process including as a part thereof a method in accordance with any one of claims 1 to 36.
- 25 40. A method according to claim 1 substantially as herein described in relation to the accompanying examples.
- 30 41. An ophthalmic lens according to claim 38 substantially as herein described in relation to the accompanying examples.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/AU00/01152

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
Int. Cl. <sup>7</sup> : B29C 39/12 // B29L 11:00		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) B29C 39/12, 39/10, 45/16, 45/14, B29D 11/00, B29L 11:00		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WPAT: IPC as above and keywords: coat, lens, mould and similar terms		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5733483 A (SOANE et. al.) 31 March 1998 Claims 1-10	1-41
X	US 5096626 A (TAKAMIZAWA et. al.) 17 May 1992 Whole document	1-41
X	US 4774035 A (CARMELITE et. al.) 27 September 1988 Abstract	1-41
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C <input checked="" type="checkbox"/> See patent family annex		
<p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>		
Date of the actual completion of the international search 24 October 2000		Date of mailing of the international search report - 1 NOV 2000
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaaustralia.gov.au Facsimile No. (02) 6285 3929		Authorized officer  M. BREMERS Telephone No : (02) 6283 2052

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU00/01152

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5667735 A (BAE et. al.) 16 September 1997 Abstract	1-41
X	Derwent Abstract Accession No. 89-049267/07 class A32, A14 JP 01004312 A (KYOWA GAS CHEM IND) 9 January 1989	1-41
X	Derwent Abstract Accession No. 87-324950/46 class A32, A89 JP 62231712 A (KYOWA GAS CHEM IND) 12 October 1987	1-41

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International application No.  
**PCT/AU00/01152**

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member			
US	5733483	US	6099283		
US	5096626	JP	1310920	JP	1310919
		JP	1310917	JP	1310916
US	4774035	NONE			
US	5667735	NONE			
JP	1004312	NONE			
JP	62231712	NONE			
END OF ANNEX					

**PATENT COOPERATION TREATY**  
**PCT**  
**INTERNATIONAL PRELIMINARY EXAMINATION REPORT**

REC'D 02 OCT 2001

PC

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference IRN626205	<b>FOR FURTHER ACTION</b>	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416).
International Application No. <b>PCT/AU00/01152</b>	International Filing Date ( <i>day/month/year</i> ) 21 September 2000	Priority Date ( <i>day/month/year</i> ) 21 September 1999
International Patent Classification (IPC) or national classification and IPC Int. Cl. <sup>7</sup> B29C 39/12 // B29L 11:00		
Applicant SOLA INTERNATIONAL HOLDINGS LTD et al		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 3 sheets, including this cover sheet.  
☒ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 6 sheet(s).

3. This report contains indications relating to the following items:

- |      |                                     |   |
|------|-------------------------------------|---|
| I    | <input checked="" type="checkbox"/> | Basis of the report   |
| II   | <input type="checkbox"/>            | Priority  |
| III  | <input type="checkbox"/>            | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  |
| IV   | <input type="checkbox"/>            | Lack of unity of invention  |
| V    | <input checked="" type="checkbox"/> | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
| VI   | <input type="checkbox"/>            | Certain documents cited   |
| VII  | <input type="checkbox"/>            | Certain defects in the international application  |
| VIII | <input type="checkbox"/>            | Certain observations on the international application   |

Date of submission of the demand 10 April 2001	Date of completion of the report 24 September 2001
Name and mailing address of the IPEA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. (02) 6285 3929	Authorized Officer  <b>M. BREMERS</b> Telephone No. (02) 6283 2052

**I. Basis of the report**

1. With regard to the elements of the international application:\*
- ☐ the international application as originally filed.
- ☒ the description, pages **1-21**, as originally filed,  
pages , filed with the demand,  
pages , received on with the letter of
- ☒ the claims, pages , as originally filed,  
pages , as amended (together with any statement) under Article 19,  
pages , filed with the demand,  
pages **22-27** , received on **27 June 2001** with the letter of **27 June 2001**
- ☐ the drawings, pages , as originally filed,  
pages , filed with the demand,  
pages , received on with the letter of
- ☐ the sequence listing part of the description:  
pages , as originally filed  
pages , filed with the demand  
pages , received on with the letter of
2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.  
These elements were available or furnished to this Authority in the following language which is:
- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).
3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:
- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished
4. ☐ The amendments have resulted in the cancellation of:
- ☐ the description, pages
- ☐ the claims, Nos.
- ☐ the drawings, sheets/fig.
5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. Statement**

Novelty (N)	Claims 1-40	YES
	Claims	NO
Inventive step (IS)	Claims 1-40	YES
	Claims	NO
Industrial applicability (IA)	Claims 1-40	YES
	Claims	NO

**2. Citations and explanations (Rule 70.7)**

- D1 US 5733483 A (SOANE et. al.) 31 March 19998  
Claims 1-10
- D2 US 5096626 A (TAKAMIZAWA et. al.) 17 May 1992  
Whole document
- D3 US 4774035 A (CARMELITE et. al.) 27 September 1988  
Abstract
- D4 US 5667735 A (BAE et. al.) 16 September 1997  
Abstract, claim 1
- D5 Derwent Abstract Accession No. 89-049267/07 class A32, A14  
JP 01004312 A (KYOWA GAS CHEM IND) 9 January 1989
- D6 Derwent Abstract Accession No. 87-324950/46 class A32, A89  
JP 62231712 A (KYOWA GAS CHEM IND) 12 October 1987

None of the documents D1-D6 disclose a method of forming a coated optical element wherein a first coating layer that covers the casting face of a mould is an abrasion resistant layer and a second intermediate coating layer is applied that is capable of coreacting with the abrasion resistant layer and the organic liquid material that ultimately forms the optical element. Therefore the claims are novel and inventive.

## CLAIMS:

1. A method of forming a coated optical element, the method using a mould having first and second mould sections that will form front and back surfaces of the optical element, one of the mould sections having a casting face, the method including the steps of:

– applying a first coating layer to cover the casting face of a mould section, the casting face being capable of imparting a desired optical configuration on a surface of the optical element;

– treating the first coating layer to prevent damage to the first coating layer during subsequent steps;

– applying a second coating layer to the first coating layer to substantially cover the first coating layer;

– treating the second coating layer to provide at least weak adhesion of the second coating layer to the first coating layer and to prevent damage to the second layer during subsequent steps;

– filling the mould with an organic liquid material; and

– hardening the organic liquid material so as to form the optical element adhered to the second coating layer.

2. A method according to claim 1 wherein the first coating layer is applied to the casting face of the mould so as to completely cover the casting face.

3. A method according to claim 1 or claim 2 wherein the treatment of each coating layer is conducted to a degree wherein the coating layer forms a substantially insoluble dry film that exactly replicates the casting face of the mould in a substantially aberration-free manner.

4. A method according to any one of claims 1 to 3 wherein the treatment is an at least partial cure.

5. A method according to claim 4 wherein the at least partial cure is conducted by exposing a coating layer to a temperature in the range of 35°C to 130°C for a duration in the range of one to thirty minutes.

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6. A method according to claim 4 wherein a coating layer contains an unsaturated monomer, and the coating layer is cured until it exhibits a degree of unsaturation in the range of 30% to 90% of the unsaturation it possessed prior to curing.

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7. A method according to claim 4 wherein a coating layer contains an unsaturated monomer, and the coating layer is cured until it exhibits a degree of unsaturation in the range of 55% to 70% of the unsaturation it possessed prior to curing.

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8. A method according to claim 6 or claim 7 wherein the curing is initiated by a thermal initiator, or by activating radiation with an appropriate initiator, or by electron beam radiation.

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9. A method according to claim 4 wherein a coating layer is a polysiloxane coating layer, containing partially hydrolysed and partially condensed glycidoxypropyltrimethoxysilane, methyltrimethoxysilane, methacryloxypropyltrimethoxysilane, allyltrimethoxysilane, vinyltrimethoxysilane or other organosilanes, and wherein condensation thereof is further advanced to provide a gelled network.

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10. A method according to claim 4 wherein a coating layer is a polysiloxane coating layer, containing partially hydrolysed and partially condensed glycidoxypropyltrimethoxysilane, methacryloxypropyltrimethoxysilane, allyltrimethoxysilane, vinyltrimethoxysilane or other functionalised organosilanes, and

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wherein a gelled network is formed through the polymerisation of the organic component of the polysiloxane.

11. A method according to claim 10 wherein network formation via the organic component can be effected, for example, by ring opening the glycidoxy portion of the glycidoxypropyltrimethoxysilane using cationic initiators or curing catalysts, curing the ethylenic portion of the methacryloxypropyltrimethoxysilane, vinyltrimethoxysilane or allyltrimethoxysilane, or by using cationic or free radical initiators.
12. A method according to any one of claims 1 to 3 wherein the treatment is the removal of solvent from a coating layer.
13. A method according to claim 12 wherein a coating layer is a polysiloxane coating layer and the solvent removal is achieved by the use of thermal energy to remove solvent and hydrolysis product therefrom with substantially no additional condensation occurring.
14. A method according to any one of claims 1 to 13 wherein the method includes the further step of a thermal post-cure cycle after the hardening of the organic liquid material.
15. A method according to claim 14 wherein the thermal post-cure cycle is sufficient to ensure essentially complete curing of the coating layers.
16. A method according to claim 14 or claim 15 wherein one or more of the coating layers are of a polysiloxane, and wherein the thermal post-cure cycle includes heating to a temperature in the range of 90 to 120°C for a period of up to 4 hours to substantially fully condense the polysiloxane coating layers.

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17. A method according to any one of claims 1 to 16 wherein the method includes coating subsequent layers over the second coating layer.

18. A method according to any one of claims 1 to 17 wherein the optical element is subjected to subsequent treatments as required.

19. A method according to any one of claims 1 to 18 wherein the optical element is subsequently coated with further coating layers as required.

20. A method according to any one of claims 1 to 19 wherein the adhesion of coating layers to a mould surface is assisted by a surface modification of the mould surface, such surface modification being in the form of a silane treatment or a fluorochemical treatment.

21. A method according to any one of claims 1 to 19 wherein the adhesion of coating layers to a mould surface is assisted by the addition of mould release agents or adhesion promoters to the coating resin.

22. A method according to claim 1 wherein the first coating layer is an abrasion resistant coating layer and the second coating layer is an intermediate coating layer capable of coreacting with the organic material and the abrasion resistant first coating layer.

23. A method according to claim 22 wherein the abrasion resistant coating layer is formed from a polysiloxane resin and the intermediate coating layer is formed from a (meth)acryl silane.

24. A method according to claim 23 wherein the intermediate coating layer contains an amount of (meth)acryl silane in the range of from 30% to 100% (by weight).

25. A method according to claim 23 wherein the intermediate coating layer contains an amount of (meth)acryl silane in the range of from 50% to 90% (by weight).

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26. A method according to any one of claims 23 to 25 wherein the (meth)acryl silane is methacryloxypropyltrimethoxysilane.

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27. A method according to claim 1 wherein one of the coating layers is an abrasion resistant coating layer having a thickness in the range of 0.5 to 50  $\mu\text{m}$ .

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28. A method according to claim 27 wherein the thickness of the abrasion resistant coating layer is in the range of 1 to 5  $\mu\text{m}$ .

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29. A method according to claim 1 wherein the first coating layer acts as a permanent mould release agent to facilitate the removal of the optical element from the mould, or acts as a hydrophobic or oleophobic layer, and has a thickness in the range of 1 nm to 1  $\mu\text{m}$ .

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30. A method according to claim 29 wherein the thickness of the first coating layer is in the range of 1 nm to 30 nm.

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31. A method according to claim 1 wherein the second coating layer acts as an adhesion promoter between the first coating layer and the organic material, and has a thickness in the range of 0.05 to 50  $\mu\text{m}$ .

32. A method according to claim 31 wherein the second coating layer is in the range of 0.2 to 1  $\mu\text{m}$ .

33. A method according to claim 1 wherein the first coating layer and the second coating layer include therebetween an anti-reflection stack of

layers, the stack cumulatively having a thickness in the range of 0.5 to 20  $\mu\text{m}$ .

34. A method according to claim 33 wherein the stack cumulatively has a thickness in the range of 1.5 to 5  $\mu\text{m}$ .

35. A method according to claim 33 or claim 34 wherein the layers in the stack include alternate high and low refractive index layers.

36. A method according to any one of claims 1 to 35 wherein particulate fillers are added to a coating layer to modify the refractive index of the coating layer without changing the chemistry of the coating formulation, the average diameter of particles in the filler being in the range of 10nm to 80nm diameter.

37. An optical element formed by a method in accordance with any one of claims 1 to 36.

38. An ophthalmic lens formed by a method in accordance with any one of claims 1 to 36.

39. A process for the manufacture of an ophthalmic lens, the process including as a part thereof a method in accordance with any one of claims 1 to 36.

40. A method according to claim 1 substantially as herein described in relation to the accompanying examples.

41. An ophthalmic lens according to claim 38 substantially as herein described in relation to the accompanying examples.